



R I S K M A N A G E M E N T T E A M

COMPLIANCE SOLUTIONS FOR THE AUTOMOTIVE INDUSTRY

“Your Safety Net in a Regulated World”

ABOUT US

ComplyNet’s objective is to create and maintain management systems which support a compliant atmosphere throughout your business. We accomplish this by developing site specific written plans and procedures, delivering on-site employee training, performing regular facility inspection, transactional auditing, and conferring with owners and key members.

ComplyNet Corporation has provided compliance programs and consulting services to the retail automobile and automobile-related businesses since 1994. Typically, our clients are dealers and auto auctions who recognize that complying with regulatory mandates is not only the law, it’s good business as well.

Practical, Flexible, and Easy to Manage

COMPLYNET SOLUTIONS

Our customers need three things to make a loss control system work. Executive level management support, buy-in from line management, and systems that give the dealer LEVERAGE - with employees, with government, and with his insurer. ComplyNet Systems work because they get to the source of your everyday compliance problems using the best practices, and a practical, no-nonsense approach. ComplyNet delivers the most comprehensive risk management system available.

The leading provider in

RISK MANAGEMENT SYSTEMS

Dealers and Auto Auctions are in a competitive industry with thin margins. For more than 20 years, ComplyNet has helped the automotive industry cope with rising insurance costs, complicated regulatory demands, and ever-changing training requirements. ComplyNet provides best practice solutions for loss prevention, risk management and regulatory compliance. Our customers across the country enjoy reduced claims, lower premiums and excellent management systems without undue burdens on their managers.

WHAT WE DO

FE* Front End

Sales - F&I

GLB/FTC (Privacy & Safeguards)
Cyber Security (Jan 2015)
Fair Credit Policy (Jan 2014)
T.C.P.A. (Oct 2014)
Red Flags Rule (Jan 2011)
U.D.A.P. (Jan 2011)
Risk Based Pricing (Jan 2011)
Dodd Frank Rules (July 2010)
FACTA
Truth in Lending/Leasing
Telemarketing Rule/Can Spam
Magnuson Moss Warranty
IRS 8300 Reporting
& Money Laundering
OFAC
US Patriot
Spot Delivery
Arbitration
False Pretense
Credit Screening
Test Drive-Demo Policy Review
Trade-in Compliance
Ethics
FTC Disposal Rule
Vehicle History Disclosure

AP Advanced Phishing

All Departments

Automated Phishing
Full Reporting
Online Training
Dealership Specific Plans/Policies

*ComplyNet Account Managers inspect, train, and perform deal audits to ensure compliance with all of the above.

BE* Back End

Service

OSHA Compliance
New GHS Regulations (2013-2016)
Bloodborne Pathogens
Safety Gear
Lockout-Tagout
Respiratory Protection
Hazard Communication
Right to Know Training
SDS Management (MSDS)
Vehicle Lift Safety
Injury prevention
Forklift Training
Evacuation Procedures

Parts

DOT/HAZMAT Certification
Chemical Inventory
SDS Management (MSDS)
Fire Prevention
Fork Lift Training

Body Shop

Respiratory Assessment
Safety Gear Review
HazWaste
SP2 Training

Facility/Property Specifics

Department Specific Training
Buyers Guide Review
Spill Protection (SPCC)
PPE Assessment
Electrical Safety
Housekeeping

HR Human Resources

General Office

Sexual Harassment
Discrimination
Hire/Fire
Back to Work
Online Training Platform
Reporting by Department
Employee Handbooks
Dealership Specific Plans/Policies
Cash Reporting
ADA
Subcontractors
Negligent Entrustment

Custom programs available for:

New Franchise Auto Dealers
Commercial Trucking
Auto Auctions
Independent Auto Dealers
Automotive Service Centers

"When we researched the problem, we saw ComplyNet had the only integrated solutions."

Dale Batson CFO



"Our managers can focus on profitability, because ComplyNet provides an excellent compliance and risk management framework."

Chris McGrath, Dealer Principal

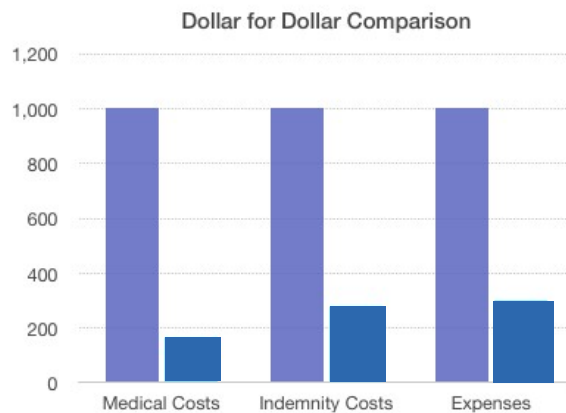
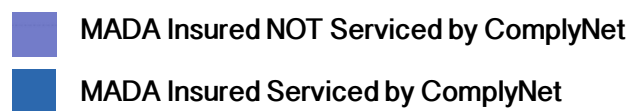


"ComplyNet consistently and systematically reviews the sales process from credit application to the first pencil through delivery and on into the service department."

Bert Worries, Controller



STATISTICS PROVE COMPLYNET SERVICES SAVE DEALERS MONEY



Source: Berkely Risk Associates. Plan Administrator for the Minnesota Auto Dealers Association MADA Insurance Exchange

**Dealerships subscribing to ComplyNet report
70% lower insurance claim costs.**

CONTACT EJ SHELBY

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LEARN MORE ABOUT PROTECTING YOUR DEALERSHIP

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